



Generalized Relative Entropies, Entanglement
Monotones and a family of quantum protocols

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Quantum Relative Entropy

- A fundamental quantity in Quantum Mechanics & Quantum Information Theory is the Quantum Relative Entropy

of ρ w.r.t. σ , $\rho \geq 0$, $\text{Tr } \rho = 1$ $\sigma \geq 0$:
(state / density matrix)

$$S(\rho \parallel \sigma) := \text{Tr} (\rho \log \rho) - \text{Tr} (\rho \log \sigma)$$

$\log \equiv \log_2$

well-defined if

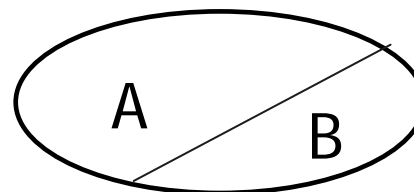
$$\text{supp } \rho \subseteq \text{supp } \sigma$$

- It acts as a parent quantity for the von Neumann entropy:

$$S(\rho) := -\text{Tr} (\rho \log \rho) = -S(\rho \parallel I) \quad (\sigma = I)$$

- It also acts as a **parent quantity** for other entropies:

e.g. for a bipartite state ρ_{AB} :



- *Conditional entropy*

$$S(A|B)_\rho := S(\rho_{AB}) - S(\rho_B) = -S(\rho_{AB} \| I_A \otimes \rho_B)$$

- *Mutual information*

$$\rho_B = \text{Tr}_A \rho_{AB}$$

$$I(A:B)_\rho := S(\rho_A) + S(\rho_B) - S(\rho_{AB}) = S(\rho_{AB} \| \rho_A \otimes \rho_B)$$

Some Properties of $S(\rho \parallel \sigma)$

“distance”

$$S(\rho \parallel \sigma) \geq 0 \quad \rho, \sigma \text{ states}$$
$$= 0 \text{ if \& only if } \rho = \sigma$$

- **Joint convexity:**

For two mixtures of states $\rho = \sum_{i=1}^n p_i \rho_i$ & $\sigma = \sum_{i=1}^n p_i \sigma_i$

$$S(\rho \parallel \sigma) \leq \sum_k p_k S(\rho_k \parallel \sigma_k)$$

- **Invariance** under
joint unitaries

$$S(U \rho U^* \parallel U \sigma U^*) = S(\rho \parallel \sigma)$$

- **Monotonicity** of Quantum Relative Entropy under a completely positive trace-preserving (CPTP) map Λ :

v. powerful!

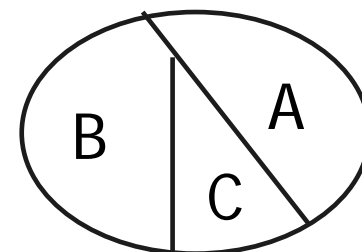
$$S(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq S(\rho \parallel \sigma) \quad \dots\dots\dots(1)$$

- Many properties of other entropies can be proved using (1)
e.g. *Strong subadditivity of the von Neumann entropy*

$$S(\rho_{ABC}) + S(\rho_B) \leq S(\rho_{AB}) + S(\rho_{BC})$$


Lieb & Ruskai '73

$$S(A|BC)_\rho \leq S(A|B)_\rho$$



ρ_{ABC}

Outline

- *Define 2 generalized relative entropy quantities*
 - *Discuss their properties and operational significance*
 - *Define 2 entanglement monotones*
 - *Discuss their operational significance*
 - *Consider a family tree of quantum protocols*
- 

Two new relative entropies

- *Definition 1* : The **max- relative entropy** of a state ρ & a positive operator σ is

$$S_{\max}(\rho \parallel \sigma) := \log \left(\min \{ \lambda : \rho \leq \lambda \sigma \} \right)$$


$$(\lambda \sigma - \rho) \geq 0$$

- *Definition 2:* The **min- relative entropy** of a state ρ & a positive operator σ is

$$S_{\min}(\rho \parallel \sigma) := -\log \operatorname{Tr}(\pi_{\rho} \sigma)$$

where π_{ρ} denotes the projector onto the support of ρ
($\operatorname{supp} \rho$)

$$\operatorname{supp} \rho \cap \operatorname{supp} \sigma \neq \emptyset$$

- *Remark:* The min- relative entropy

$$S_{\min}(\rho \parallel \sigma) := -\log \operatorname{Tr} \pi_{\rho} \sigma$$

is the *quantum relative Renyi entropy* of order 0 :

$$S_{\min}(\rho \parallel \sigma) = S_0(\rho \parallel \sigma) = \lim_{\alpha \rightarrow 0^+} S_{\alpha}(\rho \parallel \sigma)$$

where

$$S_{\alpha}(\rho \parallel \sigma) := \frac{1}{\alpha - 1} \log \operatorname{Tr} (\rho^{\alpha} \sigma^{1-\alpha})$$

quantum relative Renyi entropy of order α ($\alpha \neq 1$)

$$S_{\max}(\rho \parallel \sigma) \geq S_{\min}(\rho \parallel \sigma)$$

■ *Proof:*

$$S_{\max}(\rho \parallel \sigma) := \log \left(\min \{ \lambda : \rho \leq \lambda \sigma \} \right) = \log \lambda_0$$

$$\rho \leq \lambda_0 \sigma, \quad (\lambda_0 \sigma - \rho) \geq 0$$

$$\text{Tr} [\pi_\rho (\lambda_0 \sigma - \rho)] \geq 0 \quad \because \pi_\rho \geq 0$$

$$\lambda_0 \text{Tr} [\pi_\rho \sigma] \geq \text{Tr} [\pi_\rho \rho] = 1$$

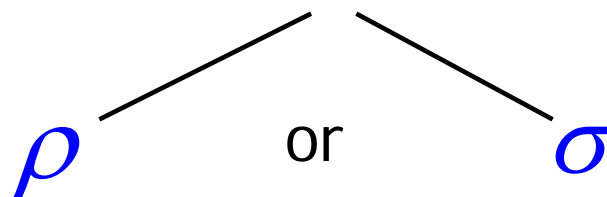
$$\log \lambda_0 + \log [\text{Tr}(\pi_\rho \sigma)] \geq 0$$

$$\log \lambda_0 \geq -\log [\text{Tr}(\pi_\rho \sigma)]$$

$$S_{\max}(\rho \parallel \sigma) \geq S_{\min}(\rho \parallel \sigma)$$

Operational significance of $S_{\min}(\rho \parallel \sigma)$

- *State Discrimination*: Bob receives a state



- He does a measurement to infer which state it is

POVM $\Pi [\rho]$ & $(I - \Pi) [\sigma]$ $0 \leq \Pi \leq I$

<i>Possible errors</i>	<i>inference</i>	<i>actual state</i>	
<i>Type I</i>	σ	ρ	<i>hypothesis testing</i>
<i>Type II</i>	ρ	σ	

- *Error probabilities*

$\alpha = \text{Tr}((I - \Pi)\rho)$

$\beta = \text{Tr}(\Pi\sigma)$

Type I

Type II

- Suppose $\Pi = \pi_\rho$ (POVM element)

Prob(Type I error)

$$\alpha = \text{Tr}((I - \Pi)\rho) \\ = 0$$

Prob(Type II error)

$$\beta = \text{Tr}(\Pi\sigma) \\ = \text{Tr}(\pi_\rho\sigma)$$

*Bob never infers the state
to be σ when it is ρ*

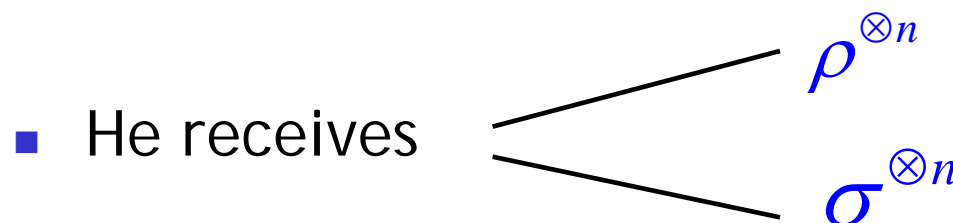
BUT

$$S_{\min}(\rho \parallel \sigma) := -\log \text{Tr} \pi_\rho \sigma$$

Hence

$$\beta = 2^{-S_{\min}(\rho \parallel \sigma)} \\ = \text{Prob}(\text{Type II error} \mid \text{Type I error} = 0)$$

- Compare with the operational significance of $S(\rho \parallel \sigma)$
arises in asymptotic hypothesis testing
- Suppose Bob is given many (n) identical copies of the state



- For n large enough,

- $Prob(\text{Type II error} \mid \text{Type I error} < \varepsilon)$

$$\approx 2^{-n} S(\rho \parallel \sigma)$$

for any fixed

$$0 \leq \varepsilon \leq 1$$

- Hence,

$$S_{\min}(\rho \parallel \sigma) \text{ \& } S(\rho \parallel \sigma)$$

have similar interpretations in terms of *Prob(Type II error)*

$$S_{\min}(\rho \parallel \sigma):$$

- a *single copy* of the state
- *Prob(Type I error) = 0*

$$S(\rho \parallel \sigma):$$

- *n* copies of the state
- *Prob(Type I error)*

$$\begin{array}{c} \rightarrow \\ n \rightarrow \infty \end{array} 0$$

- Like $S(\rho \parallel \sigma)$ we have for ρ, σ states

$$S_*(\rho \parallel \sigma) \geq 0$$

for $* = \max, \min$

$$S_*(\Lambda(\rho) \parallel \Lambda(\sigma)) \leq S_*(\rho \parallel \sigma)$$

for any CPTP map Λ

- Also

$$S_*(\rho \parallel \sigma) = S_*(U\rho U^* \parallel U\sigma U^*)$$

for any unitary
operator U

- Most interestingly

$$S_{\min}(\rho \parallel \sigma) \leq S(\rho \parallel \sigma) \leq S_{\max}(\rho \parallel \sigma)$$

- The **min-relative entropy** is **jointly convex** in its arguments.
- The **max-relative entropy** is **quasiconvex**:

For two mixtures of states $\rho = \sum_{i=1}^n p_i \rho_i$ & $\sigma = \sum_{i=1}^n p_i \sigma_i$

$$S_{\max}(\rho \parallel \sigma) \leq \max_{1 \leq i \leq n} S_{\max}(\rho_i \parallel \sigma_i)$$

- Also act as **parent quantities** for other **entropies**.....

Min- and Max- entropies

$$H_{\min}(\rho) := -S_{\max}(\rho \| I) \\ = -\log \|\rho\|_{\infty}$$

$$H_{\max}(\rho) := -S_{\min}(\rho \| I) \\ = \log \text{rank}(\rho)$$

[Renner]

Just as:

*von Neumann
entropy*

$$S(\rho) = -S(\rho \| I)$$

$$H_{\max}(\rho) \geq H_{\min}(\rho)$$

- For a bipartite state ρ_{AB} :

$$H_{\min}(A|B)_{\rho} := -S_{\max}(\rho_{AB} \| I_A \otimes \rho_B)$$

etc.

just as:

$$S(A|B) = -S(\rho_{AB} \| I_A \otimes \rho_B)$$

$$I_{\min}(A:B)_{\rho} := S_{\min}(\rho_{AB} \| \rho_A \otimes \rho_B)$$

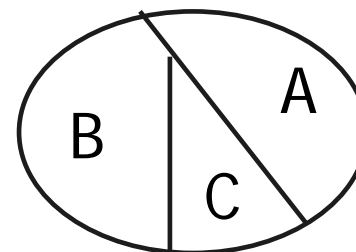
etc.

just as:

$$I(A:B) = S(\rho_{AB} \| \rho_A \otimes \rho_B)$$

Min- and Max- Relative Entropies satisfy the:

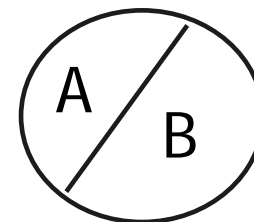
(1) *Strong Subadditivity Property*



$$H_{\min}(A|BC)_{\rho} \leq H_{\min}(A|B)_{\rho}$$

(2) *Subadditivity Property*

$$H_{\max}(\rho_{AB}) \leq H_{\max}(\rho_A) + H_{\max}(\rho_B)$$



(Q) What are the **operational significances** of the
min- and max- relative entropies in
Quantum Information Theory?

A class of important problems

the evaluation of: **optimal rates** of info-processing tasks

- data compression,
- transmission of information through a channel
- entanglement manipulation etc.


Initially evaluated in the *“asymptotic, memoryless setting”*
under the following assumptions:

- information sources & channels were **memoryless**
- they were used an infinite number of times (**asymptotic limit**)



- **Optimal rates** -- **entropic quantities**
obtainable from the **relative entropy** → **parent quantity**

■ **optimal rate** of data compression:

: the **minimum number of qubits** needed to
store (**compress**) info emitted **per use** of a
quantum info source : *reliably*



Quantum Data Compression

Quantum Info source   signals

signals (pure states) $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_k\rangle \in \mathcal{H}$

with probabilities p_1, p_2, \dots, p_k

 Hilbert space

- Then source characterized by: $\{\rho, \mathcal{H}\}$

density matrix 

$\{p_i, |\psi_i\rangle\}$

$$\rho = \sum_{i=1}^k p_i |\psi_i\rangle \langle \psi_i|$$

To evaluate data compression limit :

Consider a sequence

$$\{\rho_n, \mathcal{H}_n\}_n$$

If the quantum info source is **memoryless**

$$\mathcal{H}_n = \mathcal{H}^{\otimes n};$$

$$\rho_n = \rho^{\otimes n}$$

$$\rho \in \mathcal{B}(\mathcal{H})$$

e.g. A memoryless quantum info source emitting qubits

- Consider n successive uses of the source ; n qubits emitted
- Stored in m_n qubits ; $m_n < n$ (*data compression*)

$$\text{rate of data compression} = \frac{m_n}{n}$$

Optimal rate of data compression $R_\infty := \lim_{n \rightarrow \infty} \frac{m_n}{n}$

under the requirement that

$$P_{\text{error}}^{(n)} \xrightarrow{n \rightarrow \infty} 0$$

$$R_\infty = S(\rho) = -S(\rho \| I) \quad (\text{parent})$$

- von Neumann entropy of the source

$$S(\rho) := -\text{Tr}(\rho \log \rho)$$

- WHAT IF :

ρ_n :

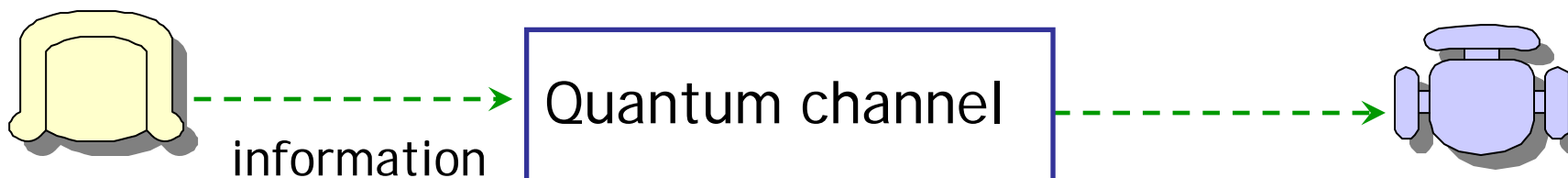
state of a *quantum spin system*
(n interacting spins)

$\{\rho_n, \mathcal{H}_n\}_n$

$$\rho_n \neq \rho^{\otimes n}$$

not memoryless !

Transmission of information through a quantum channel

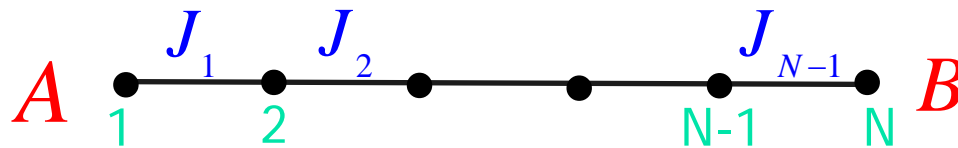


Examples:

- **Optical fibre** : through which **polarized photons** are transmitted
mobile particles which carry the info

- A **quantum spin chain** - governed by a suitable Hamiltonian
 - *info carriers (spin-1/2 particles) not mobile*
 - *instead the dynamical properties of the spin chain are exploited to transmit info*

Perfect transfer of state through a quantum spin chain



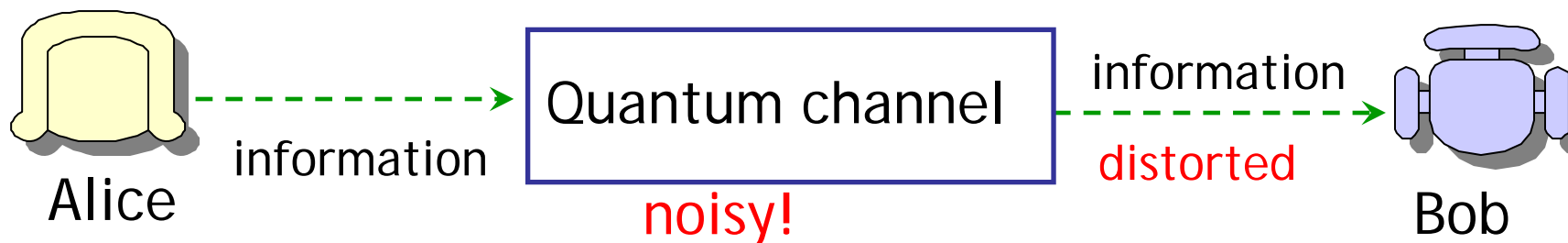
[Christandl, ND,
Ekert, Landahl]

$$H = \frac{1}{2} \sum_{i=1}^N J_i \left(\sigma_i^x \sigma_{i+1}^x + \sigma_i^y \sigma_{i+1}^y \right)$$

with

$$J_i = \frac{\lambda}{2} \sqrt{i(N-i)}$$

Transmission of information through a quantum channel



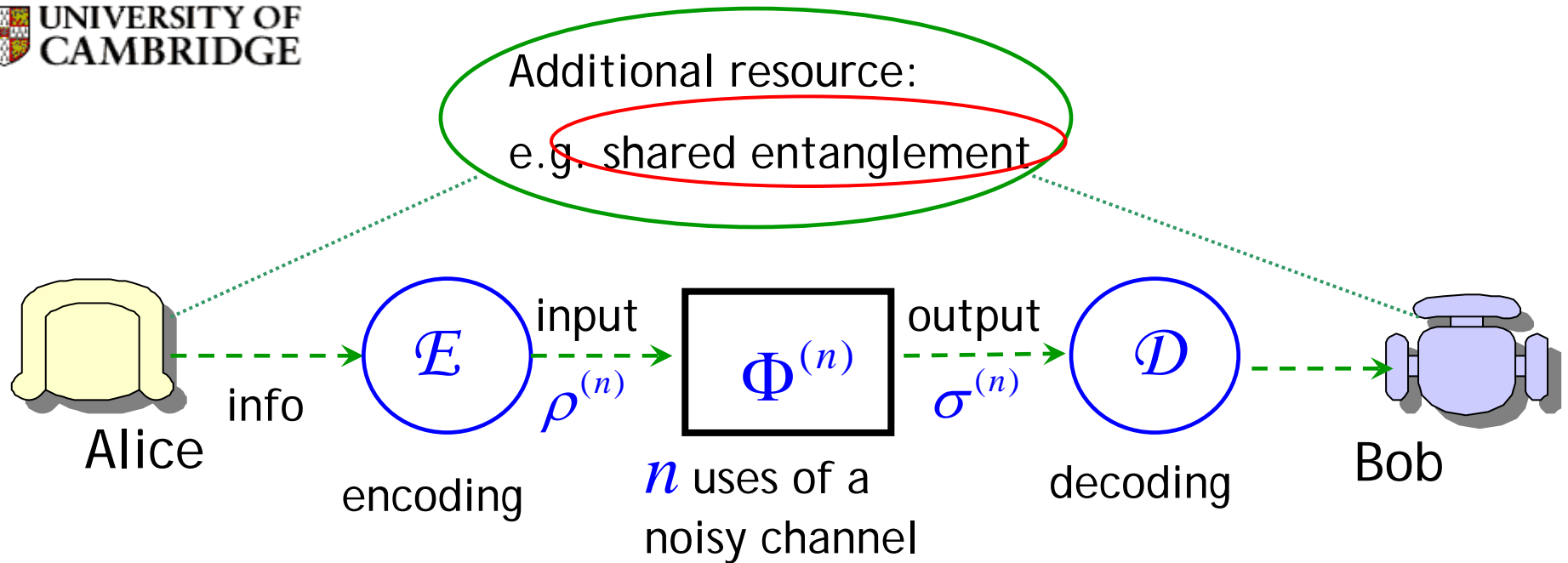
Optimal rate/capacity : the **max. amount of info** that can be **reliably** transmitted **per use** of the channel

Let $\Phi^{(n)}$: n successive uses of a quantum channel Φ

Φ **memoryless** if: **no correlation** in the **noise** affecting states transmitted through **successive uses** of the channel:

$$\Phi^{(n)} = \Phi^{\otimes n}$$

$$p_e^{(n)} \xrightarrow[n \rightarrow \infty]{} 0$$



- Information: -- classical or quantum
 - Input states:
 - product states $\rho^{(n)} = \rho_1 \otimes \rho_2 \otimes \dots \otimes \rho_n$
 - or
 - entangled states
 - Measurements:
 - individual
 - collective
- These capacities evaluated in : *asymptotic, memoryless setting*
- *Parent quantity* = *quantum relative entropy*

In real-world applications “asymptotic memoryless setting”
not necessarily valid

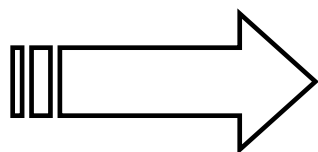
- **In practice:** info. sources & channels are used a finite number of times;
- there are unavoidable correlations between successive uses (*memory effects*)

e.g. “Spin chain model for correlated quantum channels”

Rossini et al, New J.Phys. 2008

Hence it is important to **evaluate optimal rates** for
finite number of uses (or even a *single use*)
of an *arbitrary* source, channel or entanglement resource

- Corresponding optimal rates:



optimal one-shot rates

(Q) How can **memory effects** (effects of **correlated noise**) arise in a single use (of a source or channel) ?

(A) e.g. for a channel: We could have :

$$\Phi = \tilde{\Phi}^{(m)}$$

m uses of a channel $\tilde{\Phi}$ with memory
(finite)

- Hence, one-shot capacity **encompasses** the **capacity** of a channel for a **finite number of its uses!**
- scenario of **practical interest!**

Min- & Max relative entropies: $S_{\min}(\rho \parallel \sigma), S_{\max}(\rho \parallel \sigma)$

act as parent quantities for one-shot rates of protocols

just as

Quantum relative entropy: $S(\rho \parallel \sigma)$

acts as a parent quantity for asymptotic rates of protocols

e.g. Quantum Data Compression $\{\rho, \mathcal{H}\}$ *memoryless source*

asymptotic rate: $S(\rho) = -S(\rho \parallel I)$ *more precisely*

one-shot rate: $H_{\max}(\rho) = -S_{\min}(\rho \parallel I)$

$$H_{\max}^{\varepsilon}(\rho)$$

[Koenig & Renner]

$$H_{\max}^{\varepsilon}(\rho) ?$$

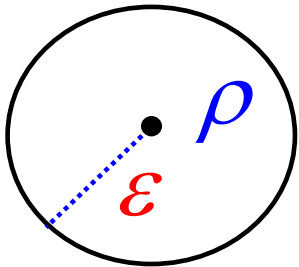
$$\forall 0 < \varepsilon < 1$$

$$H_{\max}^{\varepsilon}(\rho)$$

smoothed max-entropy

Optimal rate of *one-shot data compression*
for a *maximum probability of error* $\leq \varepsilon$

$$H_{\max}^{\varepsilon}(\rho) := \min_{\bar{\rho} \in B^{\varepsilon}(\rho)} H_{\max}(\bar{\rho})$$



$$B^{\varepsilon}(\rho) := \{\bar{\rho} : \|\rho - \bar{\rho}\|_1 \leq \varepsilon\}$$

Further Examples

$$S_{\min}(\rho \parallel \sigma)$$

Parent quantity for the following:

- *[Wang & Renner] : one-shot classical capacity of a quantum channel*

- *[ND & Buscemi] : one-shot entanglement cost under LOCC*

$$S_{\max}(\rho \parallel \sigma)$$

Parent quantity for the following:

- *[Buscemi & ND]: one-shot quantum capacity of a quantum channel*

- *[ND & Hsieh]: one-shot entanglement-assisted classical capacity of a quantum channel (today!)*

- *[Buscemi & ND]: one-shot entanglement distillation*

etc.

Why are one-shot results important?

- **One-shot results** yield the known **results** of the asymptotic, memoryless case, on taking:

$$n \rightarrow \infty \quad \text{and then} \quad \varepsilon \rightarrow 0$$

- Hence the **one-shot analysis** is more **general** !
- **One-shot results** also take into account **effects of correlation (or memory)** in sources, channels etc.

- In fact, **one-shot results** can be looked upon as the *fundamental building blocks of Quantum Info. Theory*

Min- & Max
relative
entropies



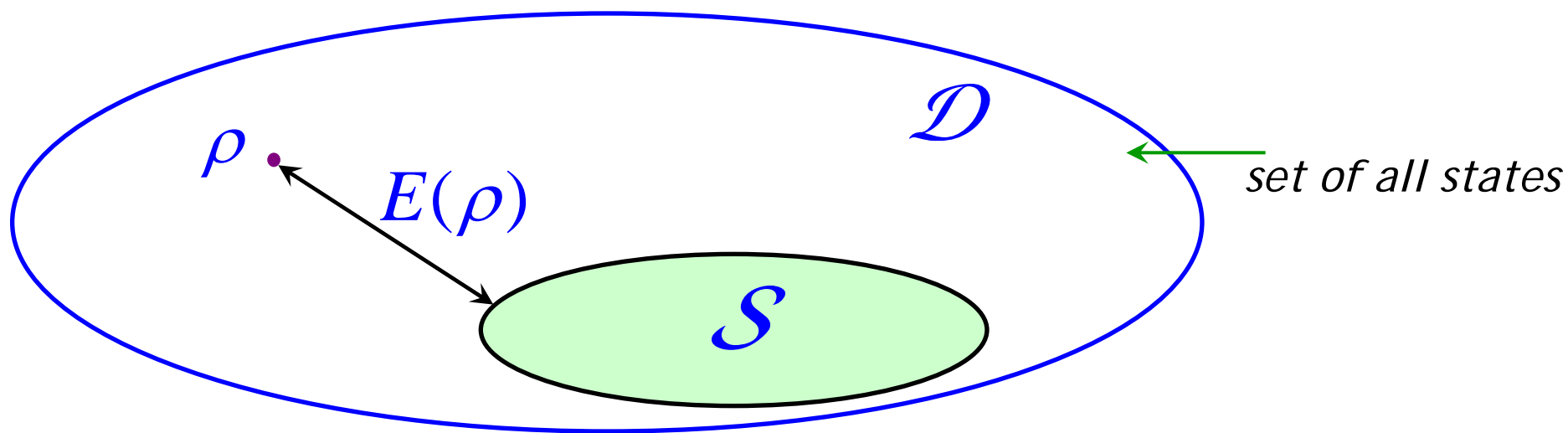
Entanglement
monotones

Entanglement monotones

- Let $\rho = \rho_{AB}$

- $E(\rho)$ = a measure of *how entangled* a state ρ is ;
i.e., the *amount of entanglement* in the state ρ

: "*minimum distance*" of ρ from the set \mathcal{S} of *separable states*.



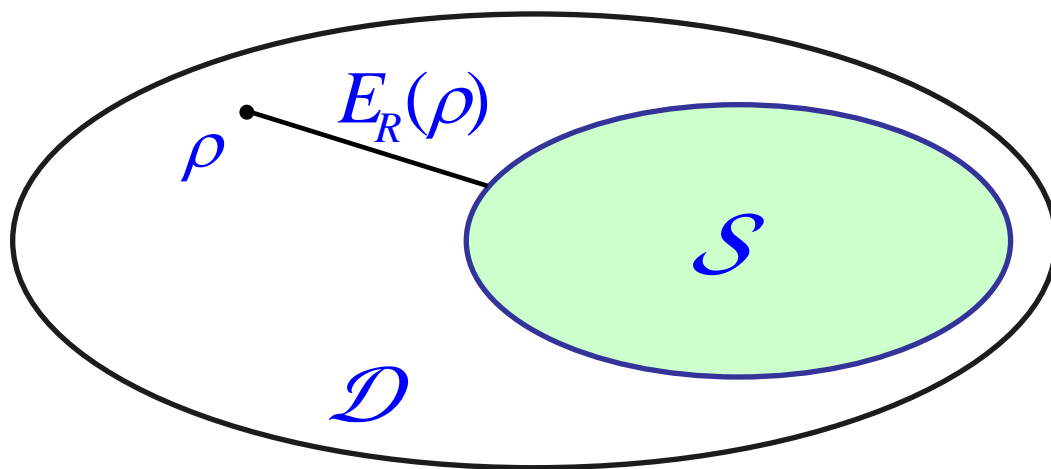
Relative Entropy of Entanglement

- One of the most important and fundamental entanglement measures for a bipartite state

$$\rho = \rho_{AB}$$

$$E_R(\rho) := \min_{\sigma \in \mathcal{S}} S(\rho \parallel \sigma)$$

Quantum Relative Entropy
"distance"



Entanglement Monotones

$$E_R(\rho) = \min_{\sigma \in \mathcal{S}} S(\rho \parallel \sigma)$$

*relative entropy of
entanglement*

- We can define two quantities:

$$E_{\max}(\rho) := \min_{\sigma \in \mathcal{S}} S_{\max}(\rho \parallel \sigma)$$

*Max-relative entropy of
entanglement*

$$E_{\min}(\rho) := \min_{\sigma \in \mathcal{S}} S_{\min}(\rho \parallel \sigma)$$

*Min-relative entropy of
entanglement*

these can be proved to be entanglement monotones!

Properties of $E_{\max}(\rho)$, $E_{\min}(\rho)$

- $E_{*}(\rho) = 0$ if ρ is separable * = max, min

- $E_{*}(\rho)$ is not changed by a local change of basis

- $E_{*}(\Lambda_{\text{LOCC}}(\rho)) \leq E_{*}(\rho)$ *monotonicity*

(local operations & classical communication)

etc.

$$E_{\min}(\rho) \leq E_R(\rho) \leq E_{\max}(\rho)$$

$$\therefore S_{\min}(\rho \parallel \sigma) \leq S(\rho \parallel \sigma) \leq S_{\max}(\rho \parallel \sigma)$$

What are the *operational significances* of

$$E_{\min}(\rho) \text{ \& } E_{\max}(\rho)?$$

$$E_{\max}(\rho) \quad \text{and} \quad E_{\min}(\rho)$$

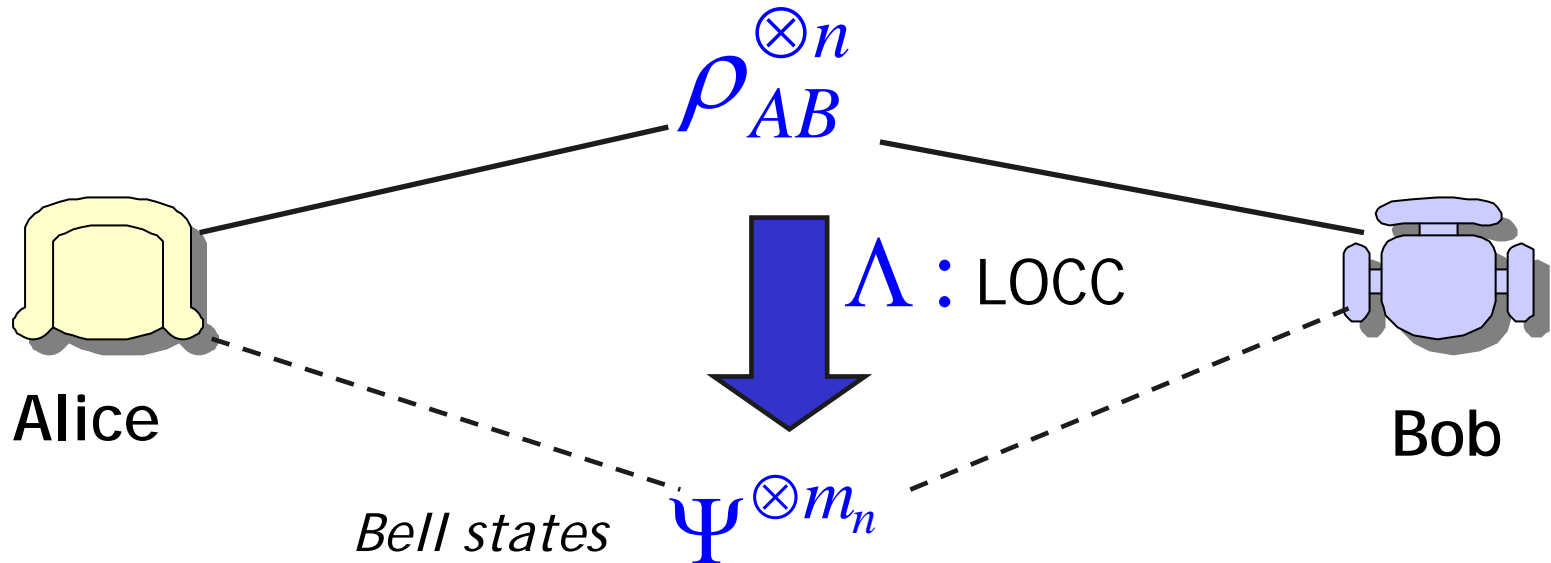
have interesting **operational significances** in
entanglement manipulation

- *What is entanglement manipulation ?*

= Transformation of entanglement from one form to
another by **local operations & classical communication**

(LOCC) :

Entanglement Distillation



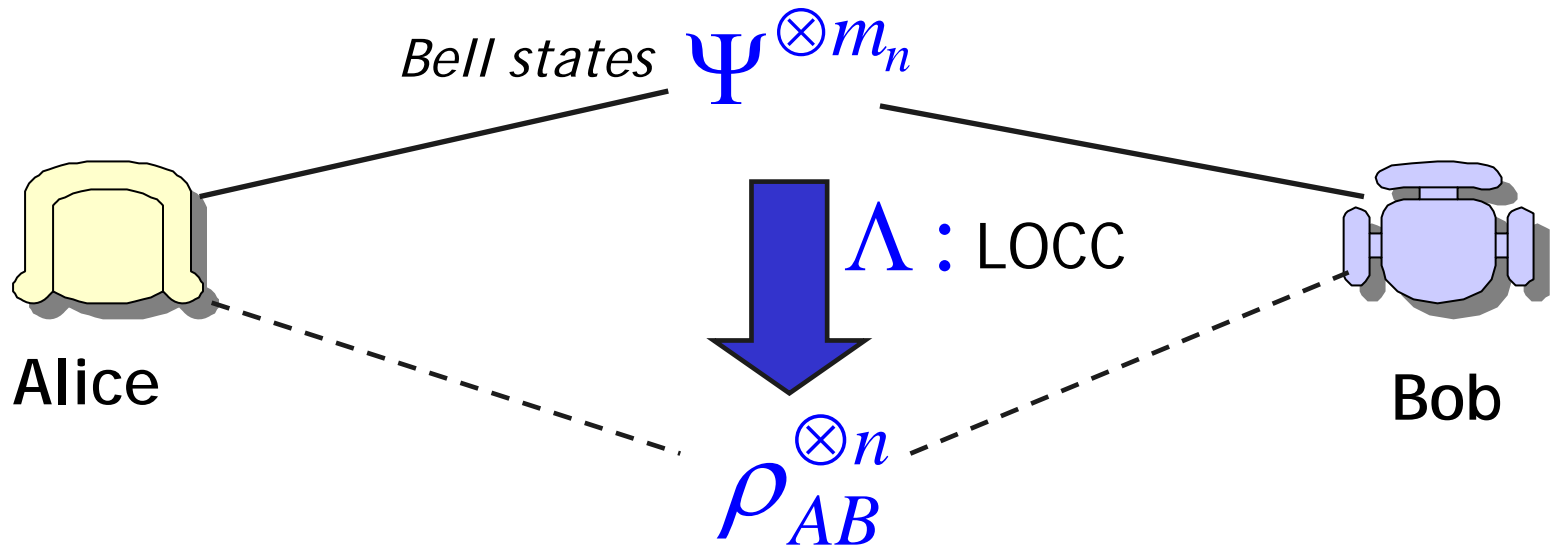
$$F\left(\Lambda\left(\rho_{AB}^{\otimes n}\right), \Psi^{\otimes m_n}\right) \xrightarrow{n \rightarrow \infty} 1$$

$$\limsup_{n \rightarrow \infty} \frac{m_n}{n} =$$

the *maximum number of Bell states* that
 can be *extracted from the state* ρ_{AB}
 = "*distillable entanglement*"

Entanglement Dilution

- **Bell states** : resource for creating a desired target state



$$\liminf_{n \rightarrow \infty} \frac{m_n}{n} = \text{the minimum number of Bell states needed to create the state } \rho_{AB} = \text{"entanglement cost"}$$

$$E_{\max}(\rho) \quad \text{and} \quad E_{\min}(\rho)$$

have interesting **operational significances** in
entanglement manipulation

when

~~$n \rightarrow \infty$~~



"one-shot" scenario ($n=1$)

~~LOCC~~



SEPP maps

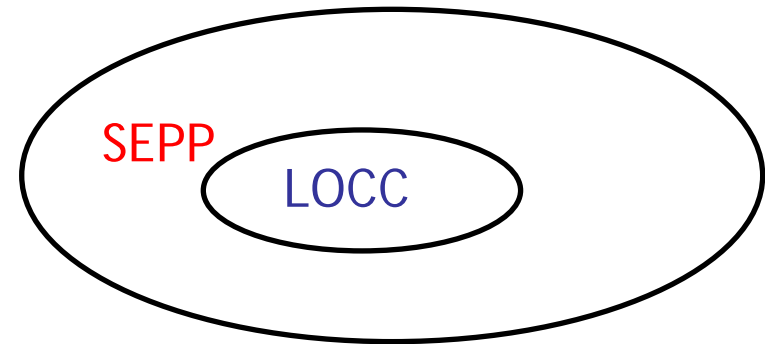
(separability-preserving maps)

Separability Preserving (SEPP) Maps

- The **largest class** of CPTP maps which when acting on a **separable state** yields a **separable state**
- If ρ_{AB} separable then $\Lambda_{\text{SEPP}}(\rho_{AB}) \equiv$ **separable**
- A **SEPP map** cannot create or increase entanglement
 - *like a LOCC map !*

Separability Preserving (SEPP)

- Every LOCC operation is separability preserving
- BUT the converse is **not true**
- E.g. Consider the map :



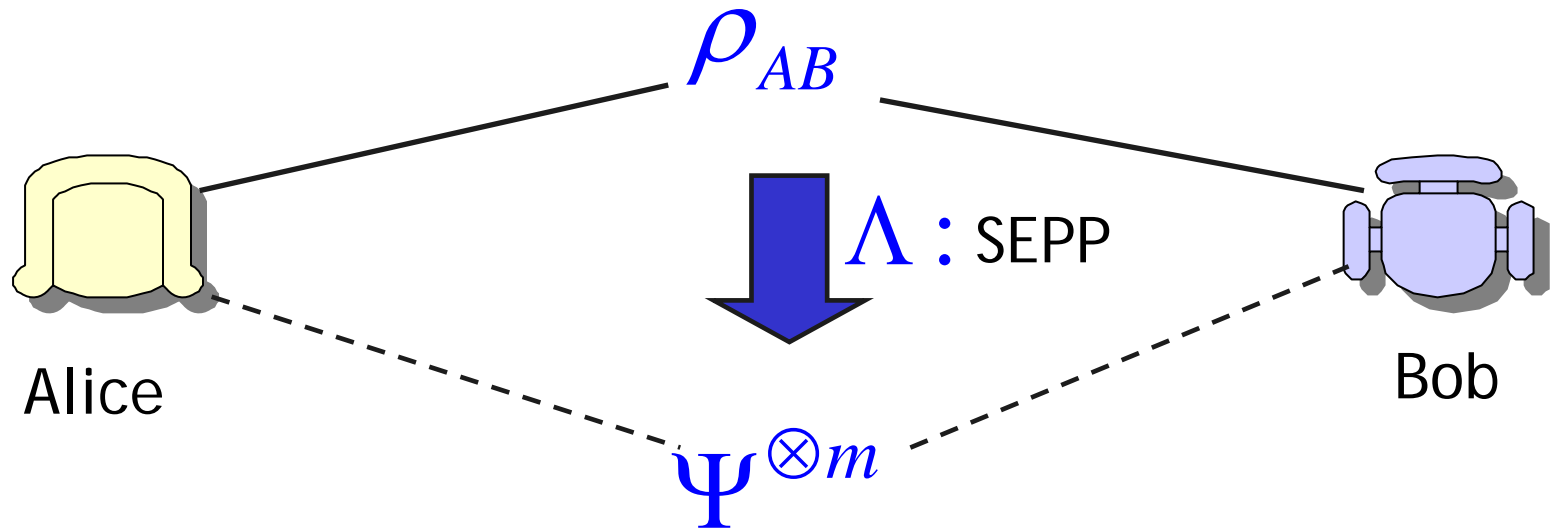
$\Lambda \equiv \Lambda_{AB}^{swap} =$ SWAP operation

$$\Lambda_{AB}^{swap} \left(\sum_i p_i \rho_i^A \otimes \rho_i^B \right) = \sum_i p_i \rho_i^B \otimes \rho_i^A$$

separable state

- SWAP is **not** a local operation

One-Shot Entanglement Distillation



- What is the *maximum number of Bell states* that can be extracted from a *single copy* of ρ_{AB} using *SEPP maps*?

i.e., what is the maximum value of m ?

"one-shot distillable entanglement of ρ_{AB} "

■ *Result :*

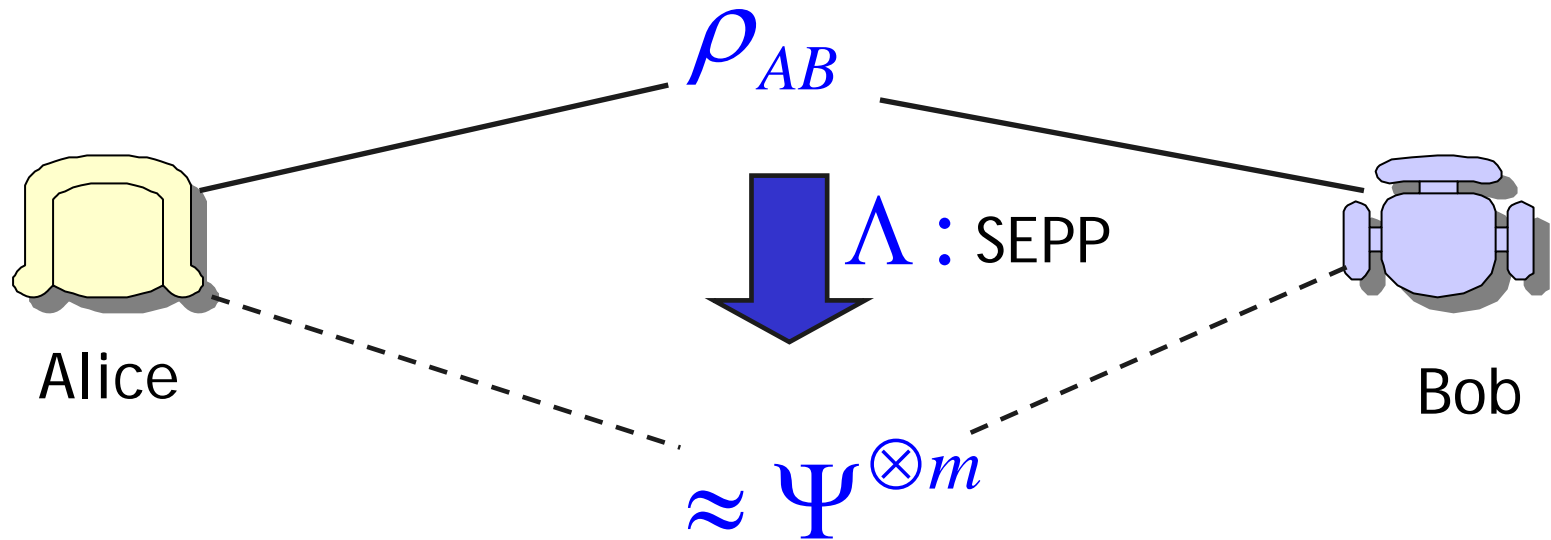
“one-shot distillable entanglement of ρ_{AB} ”

$$\textcircled{\approx} E_{\min}(\rho_{AB})$$

ND & F. Brandao

Min-relative entropy of entanglement

One-Shot Entanglement Distillation



$$F(\Lambda(\rho_{AB}), \Psi^{\otimes m}) \geq 1 - \varepsilon \quad \text{for some given } \varepsilon > 0$$

Then the *maximum value* of m :

<p><i>One-shot</i> ε – error <i>distillable entanglement</i></p>	$= E_{\min}^{\varepsilon}(\rho_{AB})$
---------------------------------------------------------------------------------------------	---------------------------------------

- where

$$E_{\min}^{\varepsilon}(\rho_{AB}) := \max_{\bar{\rho} \in B^{\varepsilon}(\rho_{AB})} E_{\min}(\rho_{AB})$$

$$B^{\varepsilon}(\rho) := \{\bar{\rho} : \|\rho - \bar{\rho}\|_1 \leq \varepsilon\}$$

*smoothed **min**-relative entropy of entanglement*

- similarly

$$E_{\max}^{\varepsilon}(\rho) := \min_{\bar{\rho} \in B_{\varepsilon}(\rho)} E_{\max}(\bar{\rho})$$

*smoothed **max**-relative entropy of entanglement*

*(operational significance in **entanglement dilution**
under **SEPP** maps)*

Summary

- Introduced 2 new relative entropies

(1) *Min-relative entropy* & (2) *Max-relative entropy*

$$S_{\min}(\rho \parallel \sigma) \leq S(\rho \parallel \sigma) \leq S_{\max}(\rho \parallel \sigma)$$

- *Parent quantities* for *optimal one-shot rates* for
 - (i) data compression for a quantum info source
 - (ii) transmission of (a) classical info & (b) quantum info through a quantum channel
 - (iii) entanglement manipulation

Entanglement monotones

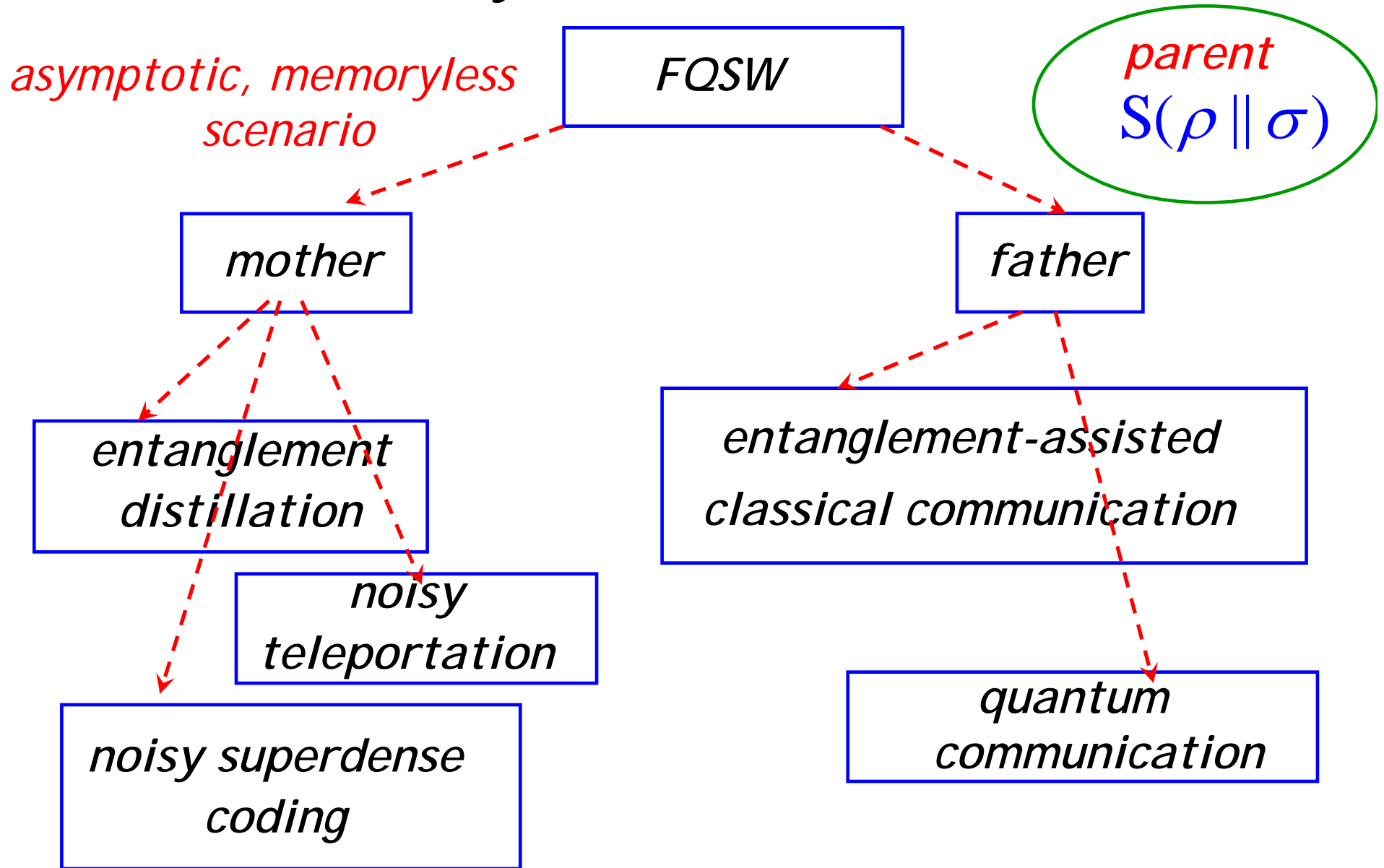
- *Min-relative entropy of entanglement* $E_{\min}(\rho_{AB})$
- *Max-relative entropy of entanglement* $E_{\max}(\rho_{AB})$

- *Operational interpretations:*

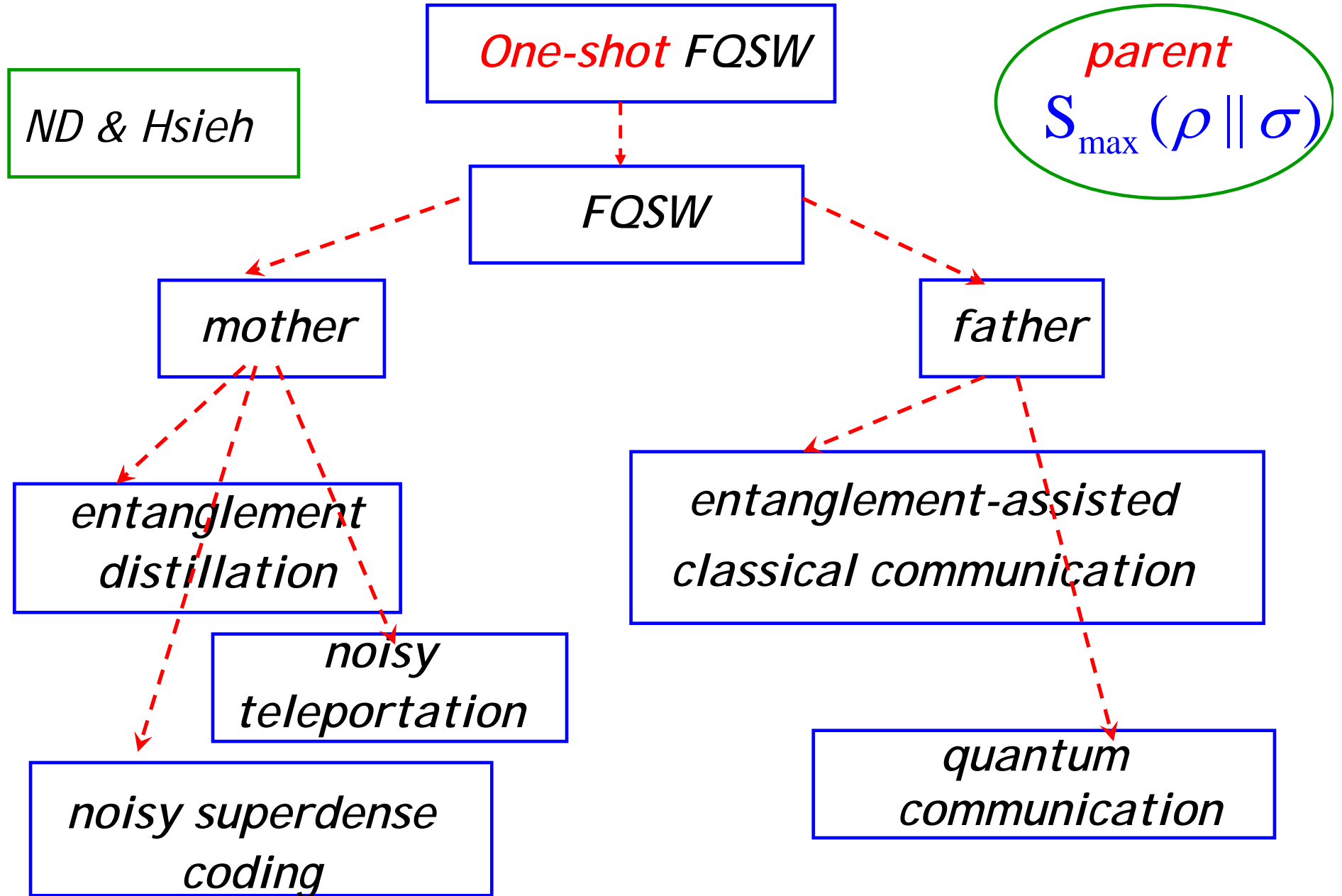
$E_{\min}^{\varepsilon}(\rho_{AB})$: One-shot *distillable entanglement* of ρ_{AB}
under SEPP

$E_{\max}^{\varepsilon}(\rho_{AB})$: One-shot *entanglement cost* of ρ_{AB}
under SEPP

Family Tree of Quantum Protocols



Apex of the Family Tree of Quantum Protocols



- *With thanks to my collaborators:*
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 - *Francesco Buscemi (Nagoya, Japan)*
 - *Min-Hsiu Hsieh (Cambridge, UK)*

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